

**BAKERSFIELD CDBG-R SUBMISSION TEMPLATE**  
**& CHECKLIST**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states, of which \$10 million has been reserved by HUD for its administrative costs and \$10 million of which will be awarded to Indian tribes. Recipients of the remaining \$980 million of CDBG-R funds will be the approximately 1,200 jurisdictions that received CDBG funding in Fiscal Year 2008. This template sets forth the suggested format for grantees receiving funds from CDBG-R. A complete submission contains the information requested below, including:

- (1) The CDBG-R Substantial Amendment (template attached below)
- (2) Spreadsheet for Reporting Proposed CDBG-R Activities (see <http://www.hud.gov/recovery>)
- (3) Signed and Dated Certifications (see <http://www.hud.gov/recovery>)
- (4) Signed and Dated [SF-424](#).

Grantees should also attach a completed CDBG-R Substantial Amendment Checklist to ensure completeness and efficiency of review (attached below).

**THE CDBG-R SUBSTANTIAL AMENDMENT**

Jurisdiction(s): <u>City of Bakersfield</u> (California)	CDBG-R Contact
Jurisdiction Web Address:	Person: Rhonda Barnhard -
<a href="http://www.bakersfieldcity.us/edcd/index.htm">http://www.bakersfieldcity.us/edcd/index.htm</a>	Assistant Director
	Address: 1600 Truxtun Ave. # 300
	Bakersfield, CA 93301
	Telephone: 661-326-3768
	Fax: 661-328-1548
	Email: rbarnhard@bakersfieldcity.us

**ENSURING RESPONSIBLE SPENDING OF RECOVERY ACT FUNDS**

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation’s infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. HUD strongly urges grantees to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. While the full range of CDBG activities is available to grantees, the Department strongly suggests that grantees incorporate consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

**A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES**

Grantees must provide information concerning CDBG-R assisted activities in an electronic spreadsheet provided by HUD. The information that must be reported in the spreadsheet includes activity name, activity description, CDBG-R dollar amount budgeted, eligibility category, national objective citation, additional Recovery Act funds for the activity received from other programs, and total activity budget. An electronic copy of the spreadsheet and the format is available on HUD’s recovery website at <http://www.hud.gov/recovery>.

**B. CDBG-R INFORMATION BY ACTIVITY (COMPLETE FOR EACH ACTIVITY)**

- (1) Activity Name: (Grantees should follow the same order that activities are listed in the Spreadsheet for Reporting Proposed CDBG-R Activities – this will allow HUD to easily match activity narratives with the information provided in the spreadsheet.)

Response:

E. California Ave. and Baker St./Kingsley Ln. Area St. Improvement Project - \$853,462

Administration/Planning - \$45,000

- (2) Activity Narrative:

In addition to the Spreadsheet for Reporting Proposed CDBG-R Activities, grantees must provide a narrative for each activity describing how the use of the grantee's CDBG-R funds will meet the requirements of Title XII of Division A and Section 1602 of ARRA. The grantee's narrative must also state how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit in relation to the CDBG-R funds obligated, and will address the Recovery Act, by:

- Preserving and creating jobs and promoting economic recovery;
- Assisting those most impacted by the recession;
- Providing investment needed to increase economic efficiency;
- Investing in transportation, environmental protection, or other infrastructure that will provide long-term economic benefits;
- Minimizing or avoiding reductions in essential services; or
- Fostering energy independence.

Response:

The construction of the proposed street activities within low income areas (about 70% area low moderate income) that are predominantly residential will be implemented by City street crews and an outside contractor. The road construction will provide temporary work. City street crews would set up and prep the streets for reconstruction, and an outside contractor would complete the street repaving work, curb and gutter, sidewalk and street tie-ins as needed. Approximately 16 persons would be employed during the construction phase of this activity. The Economic and Community Development Department (EDCD) and Public Works staff will utilize the CDBG – R administration and planning funds for providing oversight, monitoring, reporting and management of the street activities.

In addition, by investing CDBG-R funds in street (transportation) improvements, the neighborhood residents will have an improved or more accessible road network for commuting to job centers for employment. Lastly, by repaving these streets there will be an increase in auto fuel efficiency for neighborhood residents, and by installing C/G and

sidewalks (in some cases) there will be an incentive to walk versus automobile use which helps reduce air pollution (auto emissions) and foster personal energy independence.

- (3) Jobs Created: (Report the number of full- and part-time jobs estimated to be created and retained by the activity (including permanent, construction, and temporary jobs)).

Response:

As noted above, approximately full time 16 persons would be employed during the construction phase of this activity. These street improvements will help create temporary construction positions for street pavers, general laborers and clerical staff. The City does not anticipate creating any permanent jobs from the use of these stimulus funds. In addition, the City EDCD and Public Works Departments will have approximately three to four staff persons on a part-time basis providing project oversight and management.

- (4) Additional Activity Information: (A description of how the activity will promote energy conservation, smart growth, green building technologies, or reduced pollution emissions, if applicable.)

Response:

The proposed activities for the use of the CDBG–R funds will promote energy conservation and reduce pollution. Deteriorating roads are a constant problem for Bakersfield. The City of Bakersfield will be using a process called full-depth reclamation (FDR) with cement for street reconstruction. This recycling process saves money and natural resources.

This process rebuilds worn out asphalt pavements by recycling the existing roadway. The old asphalt and base materials are pulverized, mixed with cement and water, and compacted to produce a strong, durable base for either an asphalt or concrete surface. In case the existing asphalt pavement does not meet the aggregate requirements for a good stabilized base leading to a new asphalt surface, additional aggregates can be readily incorporated into the recycled aggregate during construction.

Full-depth reclamation uses the old asphalt and base material with the addition of cement for the new road (stabilized) base. This process eliminates or minimizes the need to haul in aggregate or haul out old material for disposal. Conservation and reduced pollution emissions are obtained by providing: asphalt truck traffic reduction (less diesel fuel consumed), little or no waste by-products (material landfill deposited at the County dump is practically zero), and less new roadway (asphalt) material for the reconstructed surface (about a 90% ± reduction with the FDR process).

- (5) Responsible Organization: (Contact information for the organization that will implement the CDBG-R activity, including its name, location, and administrator contact information)

Response:

The City's responsible organization will be the Economic and Community Development Department. The location is 1600 Truxtun Ave., STE 300, Bakersfield, CA 93301. The administrator contact information is Ms. Rhonda Barnhard - Assistant Director  
Address: 1600 Truxtun Ave. Suite 300, Bakersfield, CA 93301, Telephone: 661-326-3768  
Fax: 661-328-1548; Email: rbarnhard@bakersfieldcity.us

### ***C. PUBLIC COMMENT***

Provide a summary of public comments received to the proposed CDBG-R Substantial Amendment.

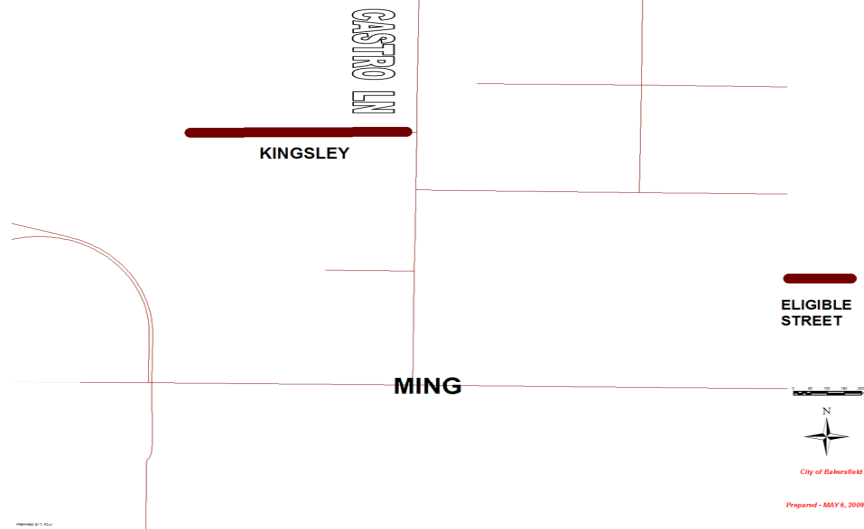
**Note:** A Proposed CDBG-R Substantial Amendment must be published via the usual methods and posted on the jurisdiction's website for no less than 7 calendar days for public comment.

#### **Response:**

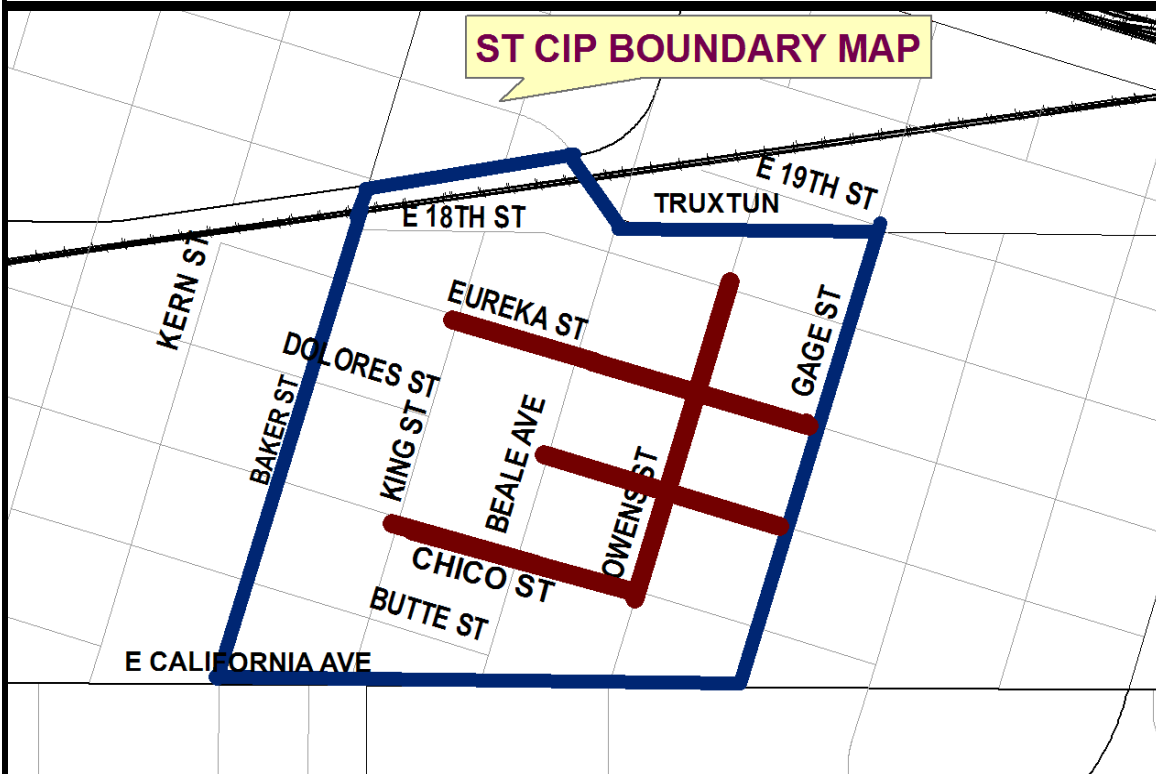
The City of Bakersfield followed its Citizen Participation Plan by publishing the substantial amendment on May 13, 2009 for a minimum of seven days in the Bakersfield Californian (City local English paper of general circulation). As required by the Citizen Participation Plan, the notice was also published in El Popular (local Spanish newspaper) and uploaded to the City's EDCD internet site. A draft hard copy was made available for review at EDCD – 1600 Truxtun Ave., STE 300, 93301; and the Downtown (County owned) Beale Library, 701 Truxtun Ave., 93301. In addition, a draft copy was uploaded to the City's website (<http://www.bakersfieldcity.us/edcd/index.htm>) with project area map (see below).

No written citizen comments were received during the seven day public comment period. On May 20, 2009, the substantial amendment was approved by the City Council for submission to HUD. The amendment was expressed mailed to HUD prior to the June 5, 2009 deadline.

**PROPOSED CDBG - R STIMULUS CIP ACTIVITIES**



**ST CIP BOUNDARY MAP**



**CDBG-R Substantial Amendment  
Grantee Checklist**

*For the purposes of expediting review, HUD asks that applicants submit the following checklist along with the CDBG-R Substantial Amendment, Spreadsheet for Reporting Proposed CDBG-R Activities, and SF-424.*

**Contents of a CDBG-R Action Plan Substantial Amendment**

Jurisdiction(s): <u>City of Bakersfield</u> Lead Agency – Economic and Community Development Department Jurisdiction Web Address: ( <a href="http://www.bakersfieldcity.us/edcd/index.htm">http://www.bakersfieldcity.us/edcd/index.htm</a> )	CDBG-R Contact Person: Rhonda Barnhard - Assistant Director Address: 1600 Truxtun Ave. # 300 Bakersfield, CA 93301 Telephone: 661-326-3768 Fax: 661-328-1548 Email: rbarnhard@bakersfieldcity.us
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The elements in the substantial amendment required for the CDBG recovery funds are:

***A. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES***

Does the submission contain a paper copy of the Spreadsheet for Reporting Proposed CDBG-R Activities?

Yes  No  Verification found on page **last page**

Does the submission include an electronic version of the Spreadsheet for Reporting Proposed CDBG-R Activities sent to the email box CDBG-R@hud.gov?

Yes  No  Date Spreadsheet was emailed: **June 3, 2009**

Does the Spreadsheet for Reporting Proposed CDBG-R Activities include, for each activity:

- amount of funds budgeted for each activity, including CDBG-R funds, any additional Recovery Funds used and total activity budget,  
 Yes  No  Verification found on page(s) **last page**
- the Eligibility citation (eligibility regulatory cite or HCDA cite),  
 Yes  No  Verification found on page(s) **last page**
- the CDBG national objective citation,  
 Yes  No  Verification found on page(s) **last page**

**B. CDBG-R INFORMATION BY ACTIVITY**

Does the submission contain information by activity describing how the grantee will use the funds, including:

- a narrative for each activity describing how CDBG-R funds will be used in a manner that maximizes job creation and economic benefit,  
Yes  No  Verification found on page(s) 3
- projected number of jobs created for each activity,  
Yes  No  Verification found on page(s) 4
- whether an activity will promote energy efficiency and conservation,  
Yes  No  Verification found on page(s) 4
- the name, location, and contact information for the entity that will carry out the activity,  
Yes  No  Verification found on page(s) 4 & 5
- evidence that no more than 10% of the grant amount will be spent on administration and planning,  
Yes  No  Verification found on page (s) 3
- evidence that no more than 15% of the grant amount will be spent on public services,  
Yes  No  Verification found on page (s) 3
- evidence that at least 70% of the grant amount will benefit persons of low and moderate income,  
Yes  No  Verification found on page (s) 3

**C. PUBLIC COMMENT PERIOD**

Was the proposed action plan amendment published via the jurisdiction’s usual methods and on the Internet for no less than 7 calendar days of public comment?

Yes  No . Verification found on page(s) 5

Is there a summary of citizen comments included in the final amendment?

Yes  No  Verification found on page(s) 5

**D. CERTIFICATIONS**

The following certifications are complete and accurate:

- |   |   |                             |
|---|---|-----------------------------|
| (1) Affirmatively furthering fair housing | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace                   | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying                         | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of jurisdiction             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan                 | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3                             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |

- |  |   |  |
|--|---|--|
| (8) Community development plan                               | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (9) Following a plan   | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (10) Use of Funds  | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (11) Excessive Force   | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (12) Compliance with anti-discrimination laws                | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (13) Lead-based paint procedures                             | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (14) Compliance with laws                                    | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (15) Compliance with ARRA                                    | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (16) Project selection                                       | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (17) Timeliness of infrastructure investments                | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (18) Buy American provision                                  | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (19) Appropriate use of funds for infrastructure investments | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| (20) 70% of CDBG-R for LMI                                   | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/>            |
| Optional Certification                                       |   |  |
| (21) Urgent Need   | Yes <input type="checkbox"/>            | No <input checked="" type="checkbox"/> |

***E. STATE CERTIFICATIONS***

The following certifications are complete and accurate:

- |  |                              |                             |
|--|------------------------------|-----------------------------|
| (1) Affirmatively furthering fair housing                    | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Anti-displacement and relocation plan                    | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Drug-free Workplace                                      | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (4) Anti-lobbying  | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (5) Authority of State                                       | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (6) Consistency with plan                                    | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (7) Section 3  | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (8) Community development plan                               | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (9) Consultation with Local Governments                      | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (10) Use of Funds  | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (11) Excessive Force   | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (12) Compliance with anti-discrimination laws                | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (13) Compliance with laws                                    | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (14) Compliance with ARRA                                    | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (15) Project selection                                       | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (16) Timeliness of infrastructure investments                | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (17) Buy American provision                                  | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (18) Appropriate use of funds for infrastructure investments | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (19) 70% of CDBG-R for LMI                                   | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| Optional Certification                                       |                              |                             |
| (20) Urgent Need   | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

***F. SPREADSHEET FOR REPORTING PROPOSED CDBG-R ACTIVITIES (SEE ATTACHMENT)***